



Receipt

Attorney Docket No. 60025-0011

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Group Art Unit No.: 2164

Sarat C. Sankaran, et al.

Examiner: Not Yet Assigned

Serial No.: 09/804,851

Filed: March 13, 2001

For: INTERACTIVE METHOD AND APPARATUS  
FOR REAL-TIME FINANCIAL PLANNING

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NOV 14 2001  
Technology Center 2100

Commissioner for Patents  
Office of the Initial Patent Examination  
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Washington, DC 20231

**REQUEST FOR A CORRECTED FILING RECEIPT**

Sir:

Please furnish the undersigned with a corrected filing receipt for the above-identified application. The correction needed is as follows:

- (1) The title on the Updated Filing Receipt is incorrect. The correct title should read  
**"INTERACTIIVE METHOD AND APPARATUS FOR REAL-TIME FINANCIAL  
PLANNING"**. A copy of the incorrect Filing Receipt is attached.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: August 20, 2001

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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Customer Correction Branch. Application Processing Division. Commissioner for Patents Washington, D.C. 20231 on August 20, 2001.

on August 20, 2001

by

C64/aj



## UNITED STATES PATENT AND TRADEMARK OFFICE

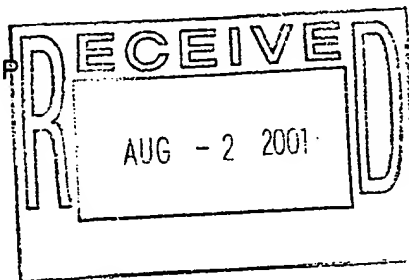


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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/804,851	03/13/2001	2164	355	60025-0011	16	20	2

CONFIRMATION NO. 2552

Christopher J. Palermo  
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## UPDATED FILING RECEIPT



\*OC00000006360447\*

Date Mailed: 07/30/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

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Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 04/23/2001

Projected Publication Date: 09/19/2002

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Interactive method and apparatus for real-time financial planning

Preliminary Class

705

DATA  
BASE  
ENTRY

DOCKETED

DATES:

8/2/01 - k

**Data entry by : GIZAW, ASTER**

**Team : OIPE**

**Date:** 07/30/2001

~~CONFIDENTIAL - SECURITY INFORMATION~~

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**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
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- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
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- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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